

General information about company	
Scrip code	517500
NSE Symbol	ROTO
MSEI Symbol	NA
ISIN	INE535D01029
Name of the company	ROTO PUMPS LIMITED
Whether company is SME	Yes
Class of Security	Equity Shares
Type of report	Pre-listing
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	20-04-2022
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	21-04-2022
Shareholding pattern filed under	Regulation 31 (1) (a)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	18	11062985			11062985	70.45	11062985		11062985	70.45
(B)	Public	9335	4640820			4640820	29.55	4640820		4640820	29.55
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	9353	15703805			15703805	100	15703805		15703805	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				70.45	4519765	40.85			11062985
(B)	Public				29.55					4032820
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100	4519765	28.78			15095805

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	18	11062985			11062985	70.45	11062985		11062985	70.45
Sub-Total (A)(1)		18	11062985			11062985	70.45	11062985		11062985	70.45
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		18	11062985			11062985	70.45	11062985		11062985	70.45
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(e)	Foreign Portfolio Investors	3	426453			426453	2.72	426453		426453	2.72
Sub-Total (B)(1)		3	426453			426453	2.72	426453		426453	2.72
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	8957	3041622			3041622	19.37	3041622		3041622	19.37
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	1	190519			190519	1.21	190519		190519	1.21
(b)	NBFCs registered with RBI	1	500			500	0	500		500	0
(c)	Any Other (specify)	373	981726			981726	6.25	981726		981726	6.25
Sub-Total (B)(3)		9332	4214367			4214367	26.84	4214367		4214367	26.84
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		9335	4640820			4640820	29.55	4640820		4640820	29.55
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										

Total (A+B+C2)		9353	15703805			15703805	100	15703805		15703805	100
Total (A+B+C)		9353	15703805			15703805	100	15703805		15703805	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				70.45	4519765	40.85			11062985
Sub-Total (A) (1)				70.45	4519765	40.85			11062985
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				70.45	4519765	40.85			11062985
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(e)				2.72					426453
Sub-Total (B) (1)				2.72					426453
(3)	Non-institutions								
(a(i))				19.37					2825622
(a(ii))				1.21					190519
(b)				0					500
(e)				6.25					589726
Sub-Total (B) (3)				26.84					3606367
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				29.55					4032820
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100	4519765	28.78			15095805
Total (A+B+C)				100	4519765	28.78			15095805

No. (a)	0	2036595	0	1272835	1210335	0	0
As a % of total Shares held (b)	0	100	0	100	100	0	0
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	2500265	2036595	1488660	1272835	1210335	871750	849535
Reason for not providing PAN							
Reason for not providing PAN							
Shareholder type	Promoter Group	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group

Individuals/Hindu undivided Family					
Serial No.	15	16	17	18	
Name of the Shareholders (I)	ARVIND VEER GUPTA (HUF)	RAJINDER KUMAR GUPTA	URMILA AGARWAL	GAESU SALUJA	Click here to go back
PAN (II)	AALHA9384C	AGOPG7815M	AAVPA5652M	AUVPS3700L	Total
No. of fully paid up equity shares held (IV)	14760	10810	8500	200	11062985
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	14760	10810	8500	200	11062985
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.09	0.07	0.05	0	70.45
Number of Voting Rights held in each class of securities (IX)					
Class eg:X	14760	10810	8500	200	11062985
Class eg:y					
Total	14760	10810	8500	200	11062985
Total as a % of Total Voting rights	0.09	0.07	0.05	0	70.45
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.09	0.07	0.05	0	70.45
Number of Locked in shares (XII)					

No. (a)	0	0	0	0	4519765
As a % of total Shares held (b)	0	0	0	0	40.85
Number of Shares pledged or otherwise encumbered (XIII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	14760	10810	8500	200	11062985
Reason for not providing PAN					
Reason for not providing PAN					
Shareholder type	Promoter Group	Promoter Group	Promoter Group	Promoter Group	

Foreign Portfolio Investors		
Serial No.	1	
Name of the Shareholders (I)	AEGIS INVESTMENT FUND	Click here to go back
PAN (II)	AANCA5102B	Total
No. of fully paid up equity shares held (IV)	315640	315640
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	315640	315640
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.01	2.01
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	315640	315640
Class eg:y		
Total	315640	315640
Total as a % of Total Voting rights	2.01	2.01
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.01	2.01
Number of Locked in shares (XII)		

No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	315460	315460
Reason for not providing PAN		
Reason for not providing PAN		

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		
Serial No.	1	
Name of the Shareholders (I)	AMIT GUPTA	Click here to go back
PAN (II)	AEAPG0275M	Total
No. of fully paid up equity shares held (IV)	190519	190519
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	190519	190519
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.21	1.21
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	190519	190519
Class eg:y		
Total	190519	190519
Total as a % of Total Voting rights	1.21	1.21
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.21	1.21
Number of Locked in shares (XII)		

No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	190519	190519
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Clearing Members	Non-Resident Indian (NRI)	Foreign Nationals	Foreign Nationals	Trusts	IEPF
Category / More than 1 percentage	Category	Category	Category	Category	More than 1 percentage of shareholding	Category	Category
Name of the Shareholders (I)					DEBRA PAULY		
PAN (II)					GFSP2918R		
No. of the Shareholders (I)	81	49	238	1	1	3	1
No. of fully paid up equity shares held (IV)	198789	65727	126128	382000	382000	3224	205858
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	198789	65727	126128	382000	382000	3224	205858
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.27	0.42	0.8	2.43	2.43	0.02	1.31
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	198789	65727	126128	382000	382000	3224	205858
Class eg:y							
Total	198789	65727	126128	382000	382000	3224	205858
Total as a % of Total Voting rights	1.27	0.42	0.8	2.43	2.43	0.02	1.31
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible	1.27	0.42	0.8	2.43	2.43	0.02	1.31

securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)							
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	189289	65727	126128	0	0	2724	205858
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)		
Serial No.	8	
Category	IEPF	
Category / More than 1 percentage	More than 1 percentage of shareholding	
Name of the Shareholders (I)	INVESTOR EDUCATION AND PROTECTION FUND AUTHORITY	Click here to go back
PAN (II)	ZZZZZ9999Z	Total
No. of the Shareholders (I)	1	373
No. of fully paid up equity shares held (IV)	205858	981726
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	205858	981726
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.31	6.25
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	205858	981726
Class eg:y		
Total	205858	981726
Total as a % of Total Voting rights	1.31	6.25
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a	1.31	6.25

percentage of diluted share capital (XI)= (VII)+(X) As a % of (A+B+C2)		
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	205858	589726
Reason for not providing PAN		
Reason for not providing PAN	Textual Information(1)	

Text Block	
Textual Information(1)	Exempted from obtaining Pan

significant beneficial owners																
Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	ANURAG GUPTA	ABZPG1273F		India		ANURAG GUPTA HUF	AADHN1409H		India		17.19	0	0	No	No	08-02-2019
Total:											17.19	0	0			
2	H C GUPTA	AARPG6840M		India		H C GUPTA HUF	AAAHH7353D		India		18.52	0	0	No	No	08-02-2019
Total:											18.52	0	0			