

General information about company	
Scrip code	517500
NSE Symbol	
MSEI Symbol	
ISIN	INE535D01029
Name of the company	ROTO PUMPS LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	15	10771120			10771120	69.7	10771120	10771120	69.7	
(B)	Public	5481	4682685			4682685	30.3	4682685	4682685	30.3	

(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	5496	15453805			15453805	100		15453805		15453805 100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				69.7					10771120
(B)	Public				30.3					4015085
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					14786205

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights
								No of Voting (XIV) Rights			
								Class eg: X	Class eg:y	Total	

A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	15	10771120			10771120	69.7	10771120		10771120	69.7
Sub-Total (A)(1)		15	10771120			10771120	69.7	10771120		10771120	69.7
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		15	10771120			10771120	69.7	10771120		10771120	69.7
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(e)	Foreign Portfolio Investors	1	95000			95000	0.61	95000		95000	0.61
Sub-Total (B)(1)		1	95000			95000	0.61	95000		95000	0.61
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	5260	3188629			3188629	20.63	3188629		3188629	20.63
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	3	389319			389319	2.52	389319		389319	2.52
(e)	Any Other (specify)	217	1009737			1009737	6.53	1009737		1009737	6.53
Sub-Total (B)(3)		5480	4587685			4587685	29.69	4587685		4587685	29.69
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		5481	4682685			4682685	30.3	4682685		4682685	30.3
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		5496	15453805			15453805	100	15453805		15453805	100
Total (A+B+C)		5496	15453805			15453805	100	15453805		15453805	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares	No. (a)	As a % of total Shares	

					held (b)		held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group							
(1)	Indian							
(a)				69.7				10771120
Sub-Total (A)(1)				69.7				10771120
(2)	Foreign							
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				69.7				10771120
B	Table III - Statement showing shareholding pattern of the Public shareholder							
(1)	Institutions							
(e)				0.61				95000
Sub-Total (B)(1)				0.61				95000
(3)	Non-institutions							
(a(i))				20.63				2913529
(a(ii))				2.52				389319
(e)				6.53				617237
Sub-Total (B)(3)				29.69				3920085
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				30.3				4015085
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder							
Total (A+B+C2)				100				14786205
Total (A+B+C)				100				14786205

Individuals/Hindu undivided Family							
Searial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	ASHA GUPTA	HARISH CHANDRA GUPTA	ANURAG GUPTA - HUF	ARVIND GUPTA	ANURAG GUPTA	HARISH CHANDRA GUPTA - HUF	NEERA GUPTA
PAN (II)	ACYPG1681M	AARPG6840M	AADHN1409H	ACDPG9595K	ABZPG1273F	AAAHH7353D	ABZPG1274C
No. of fully paid up equity shares held (IV)	2499665	1973695	1488660	1210335	1085335	1071100	848575
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	2499665	1973695	1488660	1210335	1085335	1071100	848575

Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	16.18	12.77	9.63	7.83	7.02	6.93	5.49
Number of Voting Rights held in each class of securities (IX)							
Class eg:X	2499665	1973695	1488660	1210335	1085335	1071100	848575
Class eg:y							
Total	2499665	1973695	1488660	1210335	1085335	1071100	848575
Total as a % of Total Voting rights	16.18	12.77	9.63	7.83	7.02	6.93	5.49
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	16.18	12.77	9.63	7.83	7.02	6.93	5.49
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	2499665	1973695	1488660	1210335	1085335	1071100	848575
Reason for not providing PAN							
Reason for not providing PAN							

Shareholder type	Promoter Group	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group
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Individuals/Hindu undivided Family							
Serial No.	8	9	10	11	12	13	14
Name of the Shareholders (I)	MANSI KANORIA	RAJEETA GUPTA	ROLLY GUPTA	MADHU AGARWAL	SHALINI GUPTA	MANOJ AGARWAL	RAJINDER KUMAR GUPTA
PAN (II)	ABAPK7168G	AGEPG4044L	AGEPG4052E	ADAPA9111D	AALPG2660F	ADMPA1064B	AGOPG7815M
No. of fully paid up equity shares held (IV)	158700	135155	133830	103600	30835	19325	10810
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	158700	135155	133830	103600	30835	19325	10810
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.03	0.87	0.87	0.67	0.2	0.13	0.07
Number of Voting Rights held in each class of securities (IX)							
Class eg:X	158700	135155	133830	103600	30835	19325	10810
Class eg:y							
Total	158700	135155	133830	103600	30835	19325	10810
Total as a % of Total Voting rights	1.03	0.87	0.87	0.67	0.2	0.13	0.07
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full							

conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	1.03	0.87	0.87	0.67	0.2	0.13	0.07
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	158700	135155	133830	103600	30835	19325	10810
Reason for not providing PAN							
Reason for not providing PAN							
Shareholder type	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group

Individuals/Hindu undivided Family		
Searial No.	15	
Name of the Shareholders (I)	URMILA AGARWAL	Click here to go back
PAN (II)	AAVPA5652M	Total
No. of fully paid up equity shares held (IV)	1500	10771120
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1500	10771120
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.01	69.7

Number of Voting Rights held in each class of securities (IX)		
Class eg:X	1500	10771120
Class eg:y		
Total	1500	10771120
Total as a % of Total Voting rights	0.01	69.7
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.01	69.7
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	1500	10771120
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter Group	

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

Serial No.	1	
Name of the Shareholders (I)	Amit Gupta	Click here to go back
PAN (II)	AEAPG0275M	Total
No. of fully paid up equity shares held (IV)	185000	185000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	185000	185000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.2	1.2
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	185000	185000
Class eg:y		
Total	185000	185000
Total as a % of Total Voting rights	1.2	1.2
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.2	1.2

Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	185000	185000
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)			
Serial No.	1	2	
Category	IEPF	Foreign Nationals	
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	
Name of the Shareholders (I)	INVESTOR EDUCATION AND PROTECTION FUND AUTHORITY	Debra Pauly	Click here to go back
PAN (II)	ZZZZZ9999Z	ZZZZZ9999Z	Total
No. of the Shareholders (I)	1	1	0
No. of fully paid up equity shares held (IV)	171953	382000	0
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	171953	382000	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.11	2.47	0
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	171953	382000	0
Class eg:y			
Total	171953	382000	0
Total as a % of Total Voting rights	1.11	2.47	0
No. Of Shares			

Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.11	2.47	0
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	171953	0	0
Reason for not providing PAN			
Reason for not providing PAN	Textual Information(1)	Textual Information(2)	

Text Block	
Textual Information(1)	Exempted from obtaining PAN.
Textual Information(2)	The shareholder being a foreign national is not required to obtain PAN

significant beneficial owners																
Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
											Shares	Voting rights	Rights on distributable dividend or any other	Exercise of control	Exercise of significant influence	

														distribution			
1	ANURAG GUPTA	ABZPG1273F		India		ANURAG GUPTA : KARTA OF HUF	AADHN1409H		India		16.65			No	No	08-02-2019	
Total:											16.65	0	0				
2	HARISH CHANDRA GUPTA	AARPG6840M		India		HARISH CHANDRA GUPTA : KARTA OF HUF	AAAHH7353D		India		19.7			No	No	08-02-2019	
Total:											19.7	0	0				