

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
5	Mrs	Asha Gupta	ACYPG1681M	00334345	Non-Executive - Non Independent Director	Not Applicable		29-09-2007			1	1	0		
6	Mr	Ramesh Chandra Vaish	ABIPV3776H	01068196	Non-Executive - Independent Director	Not Applicable		29-09-2014		54	2	0	3		
7	Mr	Anand Bordia	AAMPA7974R	00679165	Non-Executive - Independent Director	Not Applicable		29-09-2014		54	2	2	0		
8	Mrs	Annapurna Dixit	ACJPD6077H	06844250	Non-Executive - Independent Director	Not Applicable		29-09-2014		54	2	0	0		

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
9	Mr	Basant Seth	AEOPS3312P	02798529	Non-Executive - Independent Director	Not Applicable		12-05-2017		22	3	3	0		

Audit Committee Details

Whether the Audit Committee has a Regular Chairperson						Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks	
1	01068196	Ramesh Chandra Vaish	Non-Executive - Independent Director	Chairperson	06-08-2011			
2	00334631	B.S.Ramaswamy	Non-Executive - Independent Director	Member	29-06-2002			

3	00679165	Anand Bordia	Non-Executive - Independent Director	Member	28-01-2010		
4	00334345	Asha Gupta	Non-Executive - Non Independent Director	Member	30-06-2004		
5	02798529	Basant Seth	Non-Executive - Independent Director	Member	19-08-2017		

Nomination and remuneration committee

Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00334631	B.S.Ramaswamy	Non-Executive - Independent Director	Chairperson	29-06-2002		
2	00334405	Harish Chandra Gupta	Executive Director	Member	12-05-2017		
3	00679165	Anand Bordia	Non-Executive - Independent Director	Member	28-01-2010		
4	02798529	Basant Seth	Non-Executive - Independent Director	Member	19-08-2017		

Stakeholders Relationship Committee

Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01068196	Ramesh Chandra Vaish	Non-Executive - Independent Director	Chairperson	28-01-2010		
2	00334160	Anurag Gupta	Executive Director	Member	29-06-2002		
3	00334233	Arvind Veer Gupta	Executive Director	Member	12-05-2017		

Risk Management Committee

Whether the Risk Management Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Corporate Social Responsibility Committee

Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06844250	Annapurna Dixit	Non-Executive - Independent Director	Chairperson	26-05-2014		
2	00334160	Anurag Gupta	Executive Director	Member	26-05-2014		
3	00334233	Arvind Veer Gupta	Executive Director	Member	26-05-2014		

Other Committee

Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1	00334405	Harish Chandra Gupta	Share Transfer And Transmission Committee	Executive Director	Chairperson	
2	00334160	Anurag Gupta	Share Transfer And Transmission Committee	Executive Director	Member	

3	00334233	Arvind Veer Gupta	Share Transfer And Transmission Committee	Executive Director	Member	
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Annexure 1

Annexure 1

III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory				
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date
1	12-11-2018			
2		08-02-2019	87	

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory								
Sr	Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Requirement of Quorum met	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)	Name of other committee	Reason for not providing date
1	Audit Committee	08-02-2019	Yes	5	12-11-2018	87		

Annexure 1

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1

VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 100 listed entities)	NA

6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Textual Information(1)

Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	ASHWANI K VERMA
2	Designation	Company Secretary

Text Block

Textual Information(1)	The report for the previous quarter ended 31.12.2018 has been placed before the Board at its meeting held on 08.02.2019. This report for the quarter and financial year ended 31.03.2019 will be placed before the Board at its next meeting.
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Annexure II

Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations

Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		www.rotopumps.com
2	Terms and conditions of appointment of independent directors	Yes		www.rotopumps.com
3	Composition of various committees of board of directors	Yes		www.rotopumps.com
4	Code of conduct of board of directors and senior management personnel	Yes		www.rotopumps.com
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		www.rotopumps.com
6	Criteria of making payments to non-executive directors	Yes		www.rotopumps.com
7	Policy on dealing with related party transactions	Yes		www.rotopumps.com
8	Policy for determining 'material' subsidiaries	Yes		www.rotopumps.com
9	Details of familiarization programmes imparted to independent directors	Yes		www.rotopumps.com

Annexure II

Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations

Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address

10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		www.rotopumps.com
11	email address for grievance redressal and other relevant details	Yes		www.rotopumps.com
12	Financial results	Yes		www.rotopumps.com
13	Shareholding pattern	Yes		www.rotopumps.com
14	Details of agreements entered into with the media companies and/or their associates	NA		
15	New name and the old name of the listed entity	NA		

Annexure II

II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Review of Compliance Reports	17(3)	Yes	
5	Plans for orderly succession for appointments	17(4)	Yes	
6	Code of Conduct	17(5)	Yes	
7	Fees/compensation	17(6)	Yes	
8	Minimum Information	17(7)	Yes	
9	Compliance Certificate	17(8)	Yes	
10	Risk Assessment & Management	17(9)	Yes	

Annexure II

II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
11	Performance Evaluation of Independent Directors	17(10)	Yes	
12	Composition of Audit Committee	18(1)	Yes	
13	Meeting of Audit Committee	18(2)	Yes	
14	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
15	Composition of Stakeholder Relationship Committee	20(1) & (2)	Yes	
16	Composition and role of risk management committee	21(1),(2),(3),(4)	NA	
17	Vigil Mechanism	22	Yes	
18	Policy for related party Transaction	23(1),(5),(6),(7) & (8)	Yes	
19	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
20	Approval for material related party transactions	23(4)	NA	

Annexure II**II. Annual Affirmations**

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
21	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
22	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	Yes	
23	Maximum Directorship & Tenure	25(1) & (2)	Yes	
24	Meeting of independent directors	25(3) & (4)	Yes	
25	Familiarization of independent directors	25(7)	Yes	
26	Memberships in Committees	26(1)	Yes	
27	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
28	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
29	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
	Any other information to be provided - Add Notes			

Annexure II

1	Name of signatory	ASHWANI K VERMA
2	Designation	Company Secretary

Annexure II**III. Affirmations**

Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	Yes
	Any other information to be provided	

Annexure II

1	Name of signatory	ASHWANI K VERMA
2	Designation	Company Secretary

Signatory Details

Name of signatory	ASHWANI K VERMA
Designation of person	Company Secretary

Place	NOIDA
Date	15-04-2019